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March 2, 2005

From: Curtis A. Vock

Matter No.: 394374

To:	Company:	Fax Number:	Phone Number:
Examiner Khanh B. Pham	U.S. Patent Office	(703) 872-9306	

Number of Pages Transmitted (including this cover sheet): 3

Message:

U.S. Patent Application Serial No: 09/917,377

Filed: July 28, 2001

Entitled: Method For Extracting, Filtering, And Separating Events From System Firmware and Software

Docket No.: 10018215-1

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Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO. 10018215-1

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Michael S. Allison, et al.

Confirmation No.: 9960

Application No.: 09/917,377

Examiner: Khanh B. Pham

Filing Date: July 28, 2001

Group Art Unit: 2177

Title: METHOD FOR EXTRACTING, FILTERING, AND SEPARATING EVENTS FROM SYSTEM  
FIRMWARE AND SOFTWARE

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

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## INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application, or date of entry of national application, or before mailing date of first office action on the merits, whichever occurs last)
- ( ) under 37 CFR 1.97 (c) together with either a:  
( ) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- (X) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
(X) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- ( ) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: \_\_\_\_\_

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Rev 12/04 (DS-CERT)

Respectfully submitted,

Michael S. Allison, et al.

By: *Curtis A. Vack*

Curtis A. Vack

Attorney/Agent for Applicant(s)  
Reg. No. 38,356

Date: March 2, 2005

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PTO/SB/D-2a (08-09)

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Sheet	1	of	1
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**Complete if Known**

Application Number	09/317,377
Filing Date	July 28, 2001
First Named Inventor	Michael S. Allison
Art Unit	2177
Examiner Name	Khanh B. Pham
Attorney Docket Number	10018215-1

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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**Examiner  
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Date Considered

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<p>"EXAMINER" Initial &amp; reference considered, whether or not citation is in conformity with MPEP 609. Draw line through citation if not in conformance and do not consider. Include copy of the form with new communication to applicant. Cite of USPTO Patent Document, including the date of MPEP 609.4. For Japanese patent documents, the initials of the year of the right of document by the appropriate symbols as indicated on the document label. English language translation is attached.</p> <p>This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to the (and to the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 422 and 37 CFR 1.97. This collection is estimated to take 2 hours to complete. The amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. Do NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p>	<p>Applicant's unique citation designation number (Applicant's unique citation number) is used on the document, by the two-letter code (WIP) Standard ST. 16 is provided. Applicant is to place a check mark next to</p>

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